

#167 Reg for
Refund

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

02-10-03

Patent Application Serial No. 09/730,335
 Filing Date December 4, 2000
 Inventor Charles H. Dennison
 Assignee Micron Technology, Inc.
 Group Art Unit 2811
 Examiner Ori Nadav
 Attorney Docket No. MI22-1577
 Title Field Effect Transistors and Integrated Circuitry

Commissioner for Patents and Trademarks

ATTENTION: Refund Section
Accounting Division
Office of Finance
 Washington, D.C. 20231

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THIRD REQUEST FOR REFUND

1. **REFUND REQUEST:** This is a request for a refund in accordance with 37 CFR § 1.26, for claims paid for in excess of the number actually filed in the above-identified patent application.
2. **FEES CHARGED FOR WHICH REFUND REQUESTED:** \$718.00

CERTIFICATE OF MAILING
(37 CFR § 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

Date: _____

FACSIMILE

transmitted by facsimile to the Patent and Trademark Office at (703) 308-6778

Number of pages: 11

Rebecca Joyce

Date: 11-14-02

MI221577.111.wpd

(Request for Refund - page 1 of 2)

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3. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR: 40 total claims in excess of 20 and 2 independent claims in excess of 3 were paid for at the time of the initial filing of this patent application. However, the total number of claims actually in the application at the time of filing was 1 total claim in excess of 20 and no independent claims in excess of 3.

Additionally, on 06/25/02 the PTO charged our deposit account no. 23-0925 for \$160 for 2 independent claims in excess of 3, and \$558 for 31 claims in excess of 20. A refund for 31 total claims (\$558.00) and 2 independent claims (\$160.00), for a total of \$718.00, is requested.

Included with this Request for Refund are copies of the Fee Transmittal and the Preliminary Amendment from the initial filing of this patent application. A physical count of the claims listed in the Preliminary Amendment will reveal that only 21 claims (claims 57-77) were initially filed, of which only two are independent claims (claims 57 and 62).

4. **MANAGER OF REFUND:** Please make the refund by crediting \$718.00 to
Deposit Account No. 23-0925.

Date: 11-1302

Customer No.: 021567

Telephone (509) 624-4276

By 
Mark S. Matkin, Reg. No. 32,268
WELLS ST. JOHN P.S.
601 West First Avenue, Suite 1300
Spokane, WA 99201-3828

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(Request for Refund - page 2 of 2)

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PTO/SB/17 (2/98)

or use through 9/30/2000, OMB 0651-0032

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

FEET TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity Status must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/08-12. See 37 C.F.R. §§1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 1,590.00)

Complete if Known

Application Number	Unknown
Filing Date	December 4, 2000
First Named Inventor	Charles H. Dennison
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	M122-1577

METHOD OF PAYMENT (check one)

1. One or more is hereby authorized to charge my account and credit any over payments to:

Deposit Account Number 1225

Deposit Account Name St. John, Roberts

Charge the Issue Fee Set in 37 C.F.R. §1.18 at the Mailing of the Notice of Allowance

2. Payment is remitted:

Money Other

FEE CALCULATION

1. BASIC FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	105	Utility Filing fee	710.00
106		Design filing fee	
107		Plant filing fee	
108		Reissue filing fee	
114		Provisional filing fee	
TOTAL (1)		(\$ 710.00)	

2. EXTRAS

Total Cl. Indep. Claims	Fee from below	Fee Paid
40	18	720
12	180	160
		0

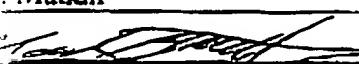
For number of claims in excess of 20, independent claims in excess of 3, multiple dependent claim, if not paid

Large Entity Fee Code	Fee Description
103	Claims in excess of 20
102	Independent claims in excess of 3
104	Multiple dependent claim, if not paid
109	Reissue independent claims over original patent
110	Reissue claims in excess of 20 and over original patent
TOTAL (2) (\$ 880.00)	

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for reexamination
112	920*	112	920 Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840 Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	400	216	200 Extension for reply within second month
117	850	217	475 Extension for reply within third month
118	1,510	218	755 Extension for reply within fourth month
128	2,050	228	1,030 Extension for reply within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for oral hearing
128	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,320	241	600 Petition to revive - unintentional
42	1,320	242	650 Utility issue fee (or reissue)
103	450	243	225 Design issue fee
103	870	244	335 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Petitions related to provisional applications
126	240	126	240 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
148	780	248	395 Filing a submission after final rejection (37 CFR 1.128(a))
149	780	249	395 For each additional invention to be examined (37 CFR 1.128(b))
Other fee (specify) _____			0.00
Other fee (specify) _____			0.00
		SUBTOTAL (3) (\$ 0.00)	

* Reduced by Basic Filing Fee Paid

SU:	Complete (if applicable)	
Type Print	Markin	Reg. Number 32,268
Sign		Date 12-1-00 Deposit Account User ID

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Washin
Washing

Time is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any
you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office.
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents.

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